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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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| 09/777,304 | 02/06/2001 | Casey S. Pontenzonc | 7957-055 | 5699 |

5514 7590 01/31/2007
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NEW YORK, NY 10112

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| EXAMINER |
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NGUYEN, QUANG N

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| ART UNIT | PAPER NUMBER |
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2141

| SHORTENED STATUTORY PERIOD OF RESPONSE | MAIL DATE | DELIVERY-MODE |
|--|------------|---------------|
| 3 MONTHS | 01/31/2007 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary

Application No.

09/777,304

Applicant(s)

PONTENZONE ET AL.

Examiner

Quang N. Nguyen

Art Unit

2141

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 16 January 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-8 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-8 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on 06 February 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

Detailed Action

1. This Office Action is in response to the Response to Restriction Requirement filed on 01/16/2007. Applicant's election of Group I (claims 1-8) is acknowledged. Claims 9-25 are withdrawn from further consideration by the examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention.

Claim Rejections - 35 USC § 112

2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.

3. **Claims 1, 4 and 6 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.**

4. Regarding claims 1, 4 and 6, the phrases "may be delivered" and "may add" render the claim indefinite because it is unclear whether the limitation(s) following the phrases are part of the claimed invention (*i.e.*, "may" or "may not" happen). See MPEP § 2173.05(d).

Claim Rejections - 35 USC § 103

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. **Claims 1-4 and 6-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Marks et al. (US 2001/0053944 A1), hereinafter "Marks", in view of Dwek (US 6,248,946).**

7. As to claims 1-2, **Marks** teaches a system for managing the delivery of content over a network to a user comprising:

a station and playlist module for managing the content delivered by one or more stations over the network (*i.e., audio content delivered by a network of associated stations*), the type of content that may be delivered by each of the stations being specified by a playlist for that station, wherein at least one of said stations includes two or more playlists (*i.e., the station's main/default playlist "Top Channel" and one or more distinct side channels of personalized programming*) and only one of said two or more playlists specifies the content that may be delivered by that station at any one time (**(Marks, Abstract, paragraphs [0017], [0036], [0044-0046] and [0075]).**

However, **Marks** does not explicitly teach the user may add content to at least one of the two or more playlists associated with the at least one station.

In an analogous art, **Dwek** teaches a system and method for delivering multimedia content to users over a computer network such as the Internet, wherein the media player includes a user interface that allows a user to search an online database of media selections and build a custom playlist exactly music selections desired by the user (**Dwek, Fig. 3A and Abstract, col. 7, lines 5-17 and col. 8, lines 27-49**).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate the feature of adding content to at least one of the two or more playlists associated with the at least one station, as disclosed by **Dwek**, into the teachings of **Marks**, since both references are directed to audio content delivery systems, hence, would be considered to be analogous based on their related fields of endeavor. One would be motivated to do so to provide the user/listener the total flexibility to select a list of any songs, or entire compact disc recordings, etc., in order to build a custom playlist of exactly the music selections desired by the user/listener (**Dwek, Abstract**).

8. As to claim 3, **Marks-Dwek** teaches the system of claim 1, wherein the network is the Internet (**Marks, paragraph [0017]**).

9. As to claim 4, **Marks-Dwek** teaches the system of claim 1, wherein the content is audio-based and each playlist includes a number of songs that may be delivered by the station associated with that playlist (**Marks, paragraphs [0012] and [0075]**).

10. As to claim 6, **Marks-Dwek** teaches the system of claim 1, wherein the content is multimedia-based and each playlist includes a number of music videos that may be delivered by the station associated with that playlist (**Marks, paragraph [0030]**).

11. As to claims 7-8, **Marks-Dwek** teaches the system of claim 1, wherein the system further comprises a promotions module for managing promotional/advertising content to be included in the playlists (**Marks, paragraphs [0039] and [0094]**).

12. **Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Marks-Dwek, in view of Rouchon (US 2001/0025259 A1).**

13. As to claim 5, **Marks-Dwek** teaches the system of claim 4, but does not explicitly teach verifying a playlist contains at least one combination of songs that are in compliance with a set of licensing rules.

In an analogous art, **Rouchon** teaches a system and method for distributing digital music to listeners over a public computer network, wherein the radio station that agrees to air or distribute the songs will sign an exclusive contract with the artists,

mentioning information such as price of the song, percentage of profit share between the artist, radio station, and copyright restriction (*i.e., songs are in compliance with a set of licensing rules*) (**Rouchon, paragraphs [0015] and [0051]**).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate the feature of verifying that a playlist contains at least one combination of songs that are in compliance with a set of licensing rules, as disclosed by **Rouchon**, into the teachings of **Marks-Dwek**, since both references are directed to multimedia content delivery systems, hence, would be considered to be analogous based on their related fields of endeavor. One would be motivated to do so to promote a music band awareness and popularity, to allocate payment of royalties or license fees to owners of rights in the audio files, and also to secure publishing and distribution rights without violate the copyright restrictions (**Rouchon, paragraph [0056]**).

Conclusion

14. A shortened statutory period for reply to this action is set to expire THREE (3) months from the mailing date of this communication. See 37 CFR 1.134.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Quang N. Nguyen whose telephone number is (571) 272-3886.

Art Unit: 2141

If attempts to reach the examiner by telephone are unsuccessful, the examiner's SPE, Rupal Dharia, can be reached at (571) 272-3880. The fax phone number for the organization is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

A handwritten signature in black ink, appearing to read 'Quang N. Nguyen' with a stylized flourish at the end.

Quang N. Nguyen
Patent Examiner
AU – 2141